

National Center for DWI Courts
DWI Court Training

**Developing a Phase System and
Rules for Your DWI Court**

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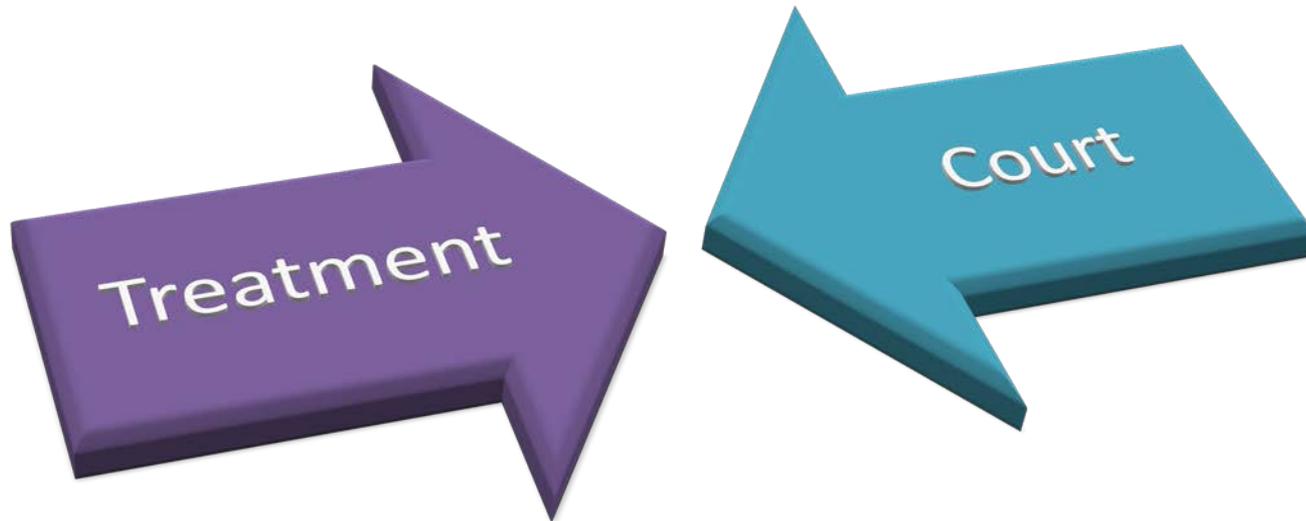
Why have Phases?

Structure

Recovery Process

Incremental Progress

Types of Phases



Court Requirements



- Comply with Treatment (Chemical & Mental Health)
- Comply with Supervision
- 12 Step / Support Meetings
- Community Service
- Employment
- Program Fees/Court Costs
- Phase Advancement
- Alumni/Continuing Care

Court Requirements

- Court Appearances
- Alcohol Tests
- Drug Tests
- Sobriety Time
- Curfew
- Ancillary Services
- Transportation/License
- Case Management
- Educational/Vocational Training/GED
- Drug-Free/Pro-Social Activities



Sample Phases



Practical Application

	High Risk	Low Risk
High Need (severe SUD)	<ul style="list-style-type: none"> ✓ Status calendar ✓ Treatment ✓ Pro-social/adaptive habilitation ✓ Abstinence is distal ✓ Positive reinforcement ✓ Self-help/alumni groups ✓ 18-24 mos. (~200 hrs.) 	<ul style="list-style-type: none"> ✓ Status calendar (until stable) ✓ Treatment (separate milieu) ✓ Adaptive habilitation ✓ Abstinence is distal ✓ Positive reinforcement ✓ Self-help/alumni groups ✓ 12-18 mos. (~150 hrs.)
Low Need (mild SUD)	<ul style="list-style-type: none"> ✓ Status calendar ✓ Pro-social habilitation ✓ Abstinence is proximal ✓ Negative reinforcement ✓ 12-18 mos. (~100 hrs.) 	<ul style="list-style-type: none"> ✓ Noncompliance calendar ✓ Psycho-education ✓ Abstinence is proximal ✓ Individual/stratified groups ✓ 3-6 mos. (~ 12-26 hrs.)

High Risk and High Need

Phase 1

Acute Stabilization (60 days)

- Court bi-weekly
- Comply with treatment
- Comply with supervision
- Develop case plan
- Weekly office visit
- Monthly Home visits
- Weekly random drug testing (minimum of 2)
- Daily alcohol testing
- Address transportation
- Address housing
- Maintain employment (to every extent possible)
- Obtain mental health and medical assessments
- Address statutory-mandated jail
- Change people, places and things
- Curfew 9 pm

In order to advance:

- Regular attendance at treatment, office visits, and being honest
- Sobriety time minimum of 14 consecutive days

Phase 2

Clinical Stabilization (90 days)

Continued from previous phase...

- Court bi-weekly
- Comply with treatment (chemical & mental health)
- Comply with supervision
- Weekly office visit
- Monthly home visits
- Weekly random drug testing (minimum of 2)
- Daily alcohol testing
- Maintain employment
- Change people, places and things

New phase requirements...

- Review case plan
- End of phase... begin to focus on Peer Support groups (e.g., 12 step groups)
- Continue to address transportation
- Maintain housing
- Address financial (budget assessment)
- Curfew 10 pm

Phase 2

Clinical Stabilization (90 days)

In order to advance:

- Compliance with treatment
- Compliance with supervision
- Sobriety time minimum of 30 consecutive days

Phase 3

Pro-Social Habilitation (90 days)

Continued from previous phase...

- Comply with treatment (chemical & mental health) and supervision
- Review case plan
- Monthly home visits
- Weekly random drug testing (minimum of 2)
- Daily alcohol testing
- Maintain employment
- Maintain housing
- Continue to address transportation

New phase requirements...

- Court monthly
- Relapse prevention
- Bi-weekly office visit
- Consistent peer support group attendance
- Begin Criminal Thinking
- Establish sober network
- Establish pro-social activities
- Continue to address financial
- Curfew 11 pm

Phase 3

Pro-social Habilitation (90 days)

In order to advance:

- Compliance with treatment
- Compliance with supervision
- Began pro-social activities
- Began sober network
- Began/maintain ancillary requirements (housing, employment...)
- Sobriety time minimum of 45 consecutive days



Phase 4

Adaptive Habilitation (90 days)

Continued from previous phase...

- Court monthly
- Comply with treatment (chemical & mental health) and supervision
- Review case plan
- Bi-weekly office visit
- Monthly home visits
- Weekly random drug testing (min. of 2)
- Consistent peer support group attendance
- Maintain sober network
- Maintain pro-social activities
- Maintain employment
- Maintain housing
- Continue to address financial

New phase requirements...

- Weekly random alcohol testing (minimum of 2)
- Address transportation... license reinstatement
- Continue Criminal Thinking
- Curfew 12 am
- As needed based upon assessment:
 - Job training
 - Parenting/family support
 - Vocational training

Phase 4

Adaptive Habilitation (90 days)

In order to advance:

- Compliance with treatment
- Compliance with supervision
- Maintain sober network
- Maintain pro-social activities
- Began/maintain ancillary requirements
- Sobriety time minimum of 60 consecutive days

Phase 5

Continuing Care (90 days)

Continued from previous phase...

- Court monthly
- Comply with treatment and supervision
- Review case plan
- Monthly home visits
- Consistent peer support group attendance
- Maintain sober network
- Maintain pro-social activities
- Maintain employment
- Maintain housing
- Continue to address financial
- Address transportation... license reinstatement
- Maintain as needed: job training; parenting/family support; vocational training

New phase requirements...

- Monthly office visit
- Random drug testing
- Random alcohol testing
- Development of continuing care plan

Phase 5

Continuing Care (90 days)

In order to commence:

- Compliance with treatment
- Compliance with supervision
- Maintain pro-social activities
- Maintain sober network
- Maintain ancillary requirements
- Maintain as needed based upon assessment:
 - Job training
 - Parenting/family support
 - Vocational training
- Sobriety time minimum of 90 consecutive days

There's A Lot
To Remember

Sample Weekly Sheet

Date/Day	Time	Activity – Goal	Initials
Monday	06:00 am	Take prescription meds with breakfast	
Monday	08:00 am	Ignition interlock installation	
Monday	2 – 4 pm	Attend Thinking for Change Group	
Tuesday	1 – 4 pm	Dialectical Cognitive Therapy (DBT) Group	
Wed.	1 – 4 pm	Dialectical Cognitive Therapy (DBT) Group	
Thursday	1 – 4 pm	Dialectical Cognitive Therapy (DBT) Group	
Friday	1 – 2 pm	Individual Session	

Considerations

Population

Accountability

Resources

Culture

Challenges

Realistic &
Recovery Focus

Client Handbook/Contract

